

APPENDIX B**Reasons for original assurance levels given (below Well)**

N.B. The issues noted here may have been addressed since the original report was issued.

Quarters -1

AUDIT REVIEW	ASSURANCE LEVEL	ISSUES NOTED	Level at follow up
Safeguarding	Inadequate	<ul style="list-style-type: none">• Recruitment policy does not reflect vetting processes detailed in the Safeguarding Policy• "Safer recruitment" training required for certain staff.• Inadequate records held to evidence checks being made.• Not all relevant job descriptions explicitly mention Safeguarding responsibilities• Retention and Disposal schedule is not clear on length of retention of documents.	Due October See main report 2.4
Contracts Monitoring	Adequate	<ul style="list-style-type: none">• A number of contracts in one area expired and no new contracts let.• Formal meetings and ad hoc visits not being adequately recorded• Contract details not held centrally• No documented procedures for ensuring assets are returned at the end of a contract (e.g. keys).	Due September